

***New York State
Departments of State and Environmental Conservation
Brownfield Opportunity Areas Program
Step 3 Application Site Assessment Supplement***

Instructions:

Please fill out this form for each strategic brownfield site for which site assessment funding is being requested. The form(s) should be included with the Step 3 application package. A property can be defined for the purposes of a site assessment around one or more area(s) of concern and may include one or more tax parcels. Please note that if multiple tax parcels are included, the site assessment report will relate to the site and not each tax parcel. The budget requested should be for the site and not broken down by tax parcel. A BOA site assessment is a Phase II Environmental Site Assessment. It is recommended that the applicant receive conceptual approval of the proposed sites prior to applying for BOA site assessment funding. Please refer to Appendix E of the BOA Guidance for Applicants when filling out this form.

Part I: BOA Information	
1. Applicant Name:	2. BOA SAC No.
3. BOA Name:	4. DEC BOA No.
5. Site Rank (in order of importance to the BOA Plan):	
Part II: Site Eligibility Information	
<p>The questions apply to all tax parcels and all owners of the tax parcels that are part of the site. Please note, a yes answer to questions 1-5, or a no answer for questions 6-10, will result in the site being determined ineligible. If the site is ineligible, do not complete or submit supplement.</p>	
<p>1. Is the site listed on the National Priorities List? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>2. Is the site listed on the NYS Registry of Inactive Hazardous Waste Disposal Sites as a Class 1 or 2 Site <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>3. Is the site subject to a permit under ECL Article 27, Title 9, other than an Interim Status facility? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>4. Is the site subject to a cleanup order under Navigation Law Article 12 or ECL Article 17 Title 10? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>5. Is the site subject to enforcement action under a State or Federal remedial program? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>6. Strategic Site - Has the State determined that the site is a strategic site (normally occurs during Step 2)? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>7. Brownfield Site - Has the State determined that the site is a brownfield site (normally occurs during Step 2)? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>8. Environmental Information Needed - Is additional environmental information necessary to determine a technically and economically viable land use for the BOA? Justification to support a yes answer must be provided as an attachment. As part of the justification, explain how the sites selected for site assessment will fill the need for additional environmental information. <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>9. Does the applicant have access to the site? Proof of applicant site ownership (deed) or certification (form available) by a non-applicant owner to allow site access must be provided as an attachment. <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>10. Existing Ownership If the applicant is the site owner, the applicant must certify that it is either a municipality as defined at 6NYCRR 375-4.2 or volunteer as defined at 6NYCRR 375-3.2 by checking one of the boxes below. If the applicant is not the site owner, the site owner of each tax parcel that is part of the site must complete a separate BOA certification form which must be submitted with the application.</p>	
<p><input type="checkbox"/> VOLUNTEER An owner who is not responsible for the disposal of hazardous waste or discharge of petroleum, including an owner whose liability arises solely as a result of ownership, operation of or involvement with the site subsequent to the disposal of hazardous waste or discharge of petroleum.</p> <p>By checking the volunteer box, the site owner is also certifying that he/she has exercised appropriate care with respect to the hazardous waste found at the facility by taking reasonable steps to: i) stop any continuing discharge; ii) prevent any threatened future release; and iii) prevent or limit human, environmental, or natural resource exposure to any previously released hazardous waste.</p>	<p><input type="checkbox"/> MUNICIPALITY A local public authority or public benefit corporation, a county, city, town, village, school district, supervisory district, district corporation, improvement district within a county, city, town, or village, or indian nation or tribe recognized by the state or the United States with a reservation wholly or partly within the boundaries of New York State, or any combination thereof who did not generate, transport, dispose of, arrange for, or cause the generation, transportation, or disposal of hazardous substance located at the site.</p>

Part III. Site Information

1. Site/Property Name:

2. Location/Address:	3. City/Town :	4. Zip Code:
----------------------	----------------	--------------

5. Municipality where site is located:

6. Counties:	7. Site Size (acres):
--------------	-----------------------

8. Latitude (degrees/minutes/seconds):	9. Longitude (degrees/minutes/seconds):
--	---

10. The tax map information below must be provided for each tax parcel that is part of the site (if more than three parcels, attach additional information)

Tax Parcel Address	Parcel No.	Section No.	Block No.	Lot No.	Acreage

11. The “Underutilized Sites Location Map” from the Nomination Report (Step 2), with strategic brownfield sites clearly identified, must be provided as an attachment.

12. List of existing easements that have a direct bearing on the site assessment.

<u>Easement Holder</u>	<u>Description</u>

13. List of existing permits that have a direct bearing on the site assessment.

<u>Type</u>	<u>Issuing Agency</u>	<u>Description</u>

14. Previous Owners - A list of previous site owners with names, last known addresses and telephone numbers must be provided as an attachment. Describe site owner’s relationship, if any, to each previous owner listed. If no relationship, put “none”.

15. Previous Operators- A list of previous site operators with names, last known addresses and telephone numbers must be provided as an attachment. Describe site owner’s relationship, if any, to each previous operator listed. If no relationship, put “none”.

Part IV. Site Environmental History**1. Environmental Reports**

If environmental reports (i.e. Phase I, Phase II, remedial investigation) currently exist for the site or a portion of the site, they must be provided with the supplement.

2. Known Contaminants: Indicate known contaminants and the media which are known to have been affected:

Contaminant Category	Soil	Groundwater	Surface Water	Sediment	Soil Gas
Petroleum					
Chlorinated Solvents					
Other VOCs					
SVOCs					
Metals					
Pesticides					
PCBs					
Other*					

*Please describe:

3. Suspected Contaminants: Indicate suspected contaminants and the media which may have been affected:

Contaminant Category	Soil	Groundwater	Surface Water	Sediment	Soil Gas
Petroleum					
Chlorinated Solvents					
Other VOCs					
SVOCs					
Metals					
Pesticides					
PCBs					
Other*					

*Please describe:

4. Indicators of Sources of Contaminants: Check all that apply

- Above Ground Pipeline or Tank
 Underground Pipeline or Tank
 Lagoons or Ponds
 Surface Release
 Routine Industrial Operations
 Dumping or Burial of Wastes
 Septic Tank/lateral Field
 Drums or Storage Containers
 Adjacent Property
 Seepage Pit or Dry Well
 Foundry Sand
 Electroplating
 Industrial Accident

Other:

5. Indicate Past Use: Relevant to Contamination: Check all that apply

- Manufactured Gas Plant
 Manufacturing
 Agricultural Co-op
 Dry Cleaner
 Salvage Yard
 Bulk Storage Facility
 Pipeline
 Service Station
 Landfill
 Tannery
 Electroplating

Other

7. Current and Intended Land Use: Check all that apply.

Current Use: Residential Commercial Industrial Recreational Vacant

Intended Use: Residential Commercial Industrial Recreational Restricted Residential

Part V. Scope of Work, Budget

Please provide the information listed below as an attachment to this form:

1. Proposed scope of work. Tasks to be included in site assessment (Phase II).
2. Estimated budget. Budget should follow format and criteria outlined in Part H - Project Budget in the Step 3 application. The total of all the site assessment budgets must be included in Part H, column 2 of the Step 3 application.
3. Estimated schedule to complete scope of work (use months/days, not dates).
4. Other funding sources available to applicant to complete proposed site assessment.

**New York State
Departments of State and Environmental Conservation
Brownfield Opportunity Areas Program**

Certification by Non-Applicant Owner of Site Proposed for BOA Site Assessment

Part I: BOA Information							
1. Applicant Name:			2. BOA SAC No.				
3. BOA Name:			4. DEC BOA No.				
Part II: Site Information - Indicate all tax parcels owned that are part of site.							
Tax Parcel Address	Parcel No.	Section No.	Block No.	Lot No.	Acreage		
Part III: Owner Certification							
<p>1. The site owner must certify that it is either a volunteer (as defined at 6NYCCR 375-3.2) or a municipality (as defined at 6NYCCR 375-4.2) in regards to the tax parcels listed in Part II by checking one of the following:</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top; padding: 5px;"> <p><input type="checkbox"/> VOLUNTEER An owner who is not responsible for the disposal of hazardous waste or discharge of petroleum, including an owner whose liability arises solely as a result of ownership, operation of or involvement with the site subsequent to the disposal of hazardous waste or discharge of petroleum.</p> <p>NOTE: By checking this box, the site owner certifies that he/she has exercised appropriate care with respect to the hazardous waste found at the facility by taking reasonable steps to: I) stop any continuing discharge; ii) prevent any threatened future release; and iii) prevent or limit human, environmental, or natural resource exposure to any previously released hazardous waste.</p> </td> <td style="width: 50%; vertical-align: top; padding: 5px;"> <p><input type="checkbox"/> MUNICIPALITY A local public authority or public benefit corporation, a county, city, town, village, school district, supervisory district, district corporation, improvement district within a county, city, town, or village, or indian nation or tribe recognized by the state or the United States with a reservation wholly or partly within the boundaries of New York State, or any combination thereof who did not generate, transport, dispose of, arrange for, or cause the generation, transportation, or disposal of hazardous substance located at the site.</p> </td> </tr> </table>						<p><input type="checkbox"/> VOLUNTEER An owner who is not responsible for the disposal of hazardous waste or discharge of petroleum, including an owner whose liability arises solely as a result of ownership, operation of or involvement with the site subsequent to the disposal of hazardous waste or discharge of petroleum.</p> <p>NOTE: By checking this box, the site owner certifies that he/she has exercised appropriate care with respect to the hazardous waste found at the facility by taking reasonable steps to: I) stop any continuing discharge; ii) prevent any threatened future release; and iii) prevent or limit human, environmental, or natural resource exposure to any previously released hazardous waste.</p>	<p><input type="checkbox"/> MUNICIPALITY A local public authority or public benefit corporation, a county, city, town, village, school district, supervisory district, district corporation, improvement district within a county, city, town, or village, or indian nation or tribe recognized by the state or the United States with a reservation wholly or partly within the boundaries of New York State, or any combination thereof who did not generate, transport, dispose of, arrange for, or cause the generation, transportation, or disposal of hazardous substance located at the site.</p>
<p><input type="checkbox"/> VOLUNTEER An owner who is not responsible for the disposal of hazardous waste or discharge of petroleum, including an owner whose liability arises solely as a result of ownership, operation of or involvement with the site subsequent to the disposal of hazardous waste or discharge of petroleum.</p> <p>NOTE: By checking this box, the site owner certifies that he/she has exercised appropriate care with respect to the hazardous waste found at the facility by taking reasonable steps to: I) stop any continuing discharge; ii) prevent any threatened future release; and iii) prevent or limit human, environmental, or natural resource exposure to any previously released hazardous waste.</p>	<p><input type="checkbox"/> MUNICIPALITY A local public authority or public benefit corporation, a county, city, town, village, school district, supervisory district, district corporation, improvement district within a county, city, town, or village, or indian nation or tribe recognized by the state or the United States with a reservation wholly or partly within the boundaries of New York State, or any combination thereof who did not generate, transport, dispose of, arrange for, or cause the generation, transportation, or disposal of hazardous substance located at the site.</p>						
<p>2. I agree to provide access to the tax parcels listed in Part II for purposes of performing a site assessment pursuant to GML §970-r. I agree to enter into a site access agreement with the BOA applicant upon approval of the Step 3 BOA application.</p>							
<p>The undersigned does hereby certify that:</p> <ul style="list-style-type: none"> • All statements and information provided are set out in full in this form or are set out in full in the documents attached to this form and incorporated by reference, are true to the best of my knowledge and belief. False statements made herein are punishable as a Class A misdemeanor pursuant to Section 210.45 of the Penal law. • The individual whose signature appears hereon is the owner or the owner's authorized representative and is authorized to sign this form for the owner. <p>Print Name: _____ Title: _____</p> <p>Owner/Authorized Representative: _____</p> <p>Signature: _____ Date: _____</p>							